

Attorney Docket No. 046700-5004-05

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Marc ALAIA et al.

Application No.: 09/311,558

Filed: May 14, 1999

For: METHOD AND SYSTEM FOR CONTROLLING  
BIDDING IN ELECTRONIC AUCTIONS USING  
BIDDER-SPECIFIC BID LIMITATIONS (As Amended)

Group No.: 2164

Examiner: Jagdish Patel

Batch No.: E06

Box Issue Fee

Assistant Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the NOTICE OF INFORMAL DRAWINGS dated June 30, 1999  
(date)

attached is:

(a) the formal drawing(s) for this application.

Number of Sheets 15

**NOTE:** "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page." 37 C.F.R. § 1.84(c).

☒ Each sheet of drawing indicates the identifying indicia suggested in § 1.84(c) on the reverse side of the drawing.

(b) a copy of the NOTICE OF DRAWINGS.

Reg. No.: 46,642

Lesley L. Coulson  
SIGNATURE OF ATTORNEY

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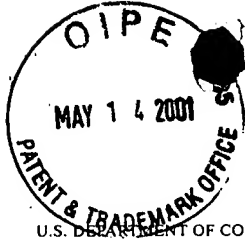
CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231.

Lesley L. Coulson  
Signature

Date: 5/10/2001

Lesley L. Coulson  
(type or print name of person mailing paper)



Form PTO 948 (Rev. 8-98)

U.S. DEPARTMENT OF COMMERCE - Patent and Trademark Office

Application No. 19/311558NOTICE OF DRAFTSPERSON'S  
PATENT DRAWING REVIEWThe drawing(s) filed (insert date) 5/14/99 are:A. ☐ approved by the Draftsperson under 37 CFR 1.84 or 1.152.B. ☒ objected to by the Draftsperson under 37 CFR 1.84 or 1.152 for the reasons indicated below. The Examiner will require submission of new, corrected drawings when necessary. Corrected drawing must be submitted according to the instructions on the back of this notice.1. DRAWINGS. 37 CFR 1.84(a): Acceptable categories of drawings:  
Black ink. Color.☐ Color drawings are not acceptable until petition is granted.

Fig(s) \_\_\_\_\_

☐ Pencil and non black ink not permitted. Fig(s) \_\_\_\_\_

## 2. PHOTOGRAPHS. 37 CFR 1.84 (b)

☐ 1 full-tone set is required. Fig(s) \_\_\_\_\_☐ Photographs not properly mounted (must use bristol board or

photographic double-weight paper). Fig(s) \_\_\_\_\_

☐ Poor quality (half-tone). Fig(s) \_\_\_\_\_

## 3. TYPE OF PAPER. 37 CFR 1.84(e)

☐ Paper not flexible, strong, white, and durable.

Fig(s) \_\_\_\_\_

☐ Erasures, alterations, overwritings, interlineations,

folds, copy machine marks not accepted. Fig(s) \_\_\_\_\_

☐ Mylar, velum paper is not acceptable (too thin).

Fig(s) \_\_\_\_\_

## 4. SIZE OF PAPER. 37 CFR 1.84(f): Acceptable sizes:

☐ 21.0 cm by 29.7 cm (DIN size A4)☐ 21.6 cm by 27.9 cm (8 1/2 x 11 inches)☐ All drawing sheets not the same size.

Sheet(s) \_\_\_\_\_

☐ Drawings sheets not an acceptable size. Fig(s) \_\_\_\_\_

## 5. MARGINS. 37 CFR 1.84(g): Acceptable margins:

Top 2.5 cm Left 2.5cm Right 1.5 cm Bottom 1.0 cm

SIZE: A4 Size

Top 2.5 cm Left 2.5 cm Right 1.5 cm Bottom 1.0 cm

SIZE: 8 1/2 x 11

Margins not acceptable. Fig(s) 2A, 11☒ Top (T) ☒ Left (L)☐ Right (R) ☐ Bottom (B)

## 6. VIEWS. 37 CFR 1.84(h)

REMINDER: Specification may require revision to correspond to drawing changes.

Partial views. 37 CFR 1.84(h)(2)

☐ Brackets needed to show figure as one entity.

Fig(s) \_\_\_\_\_

☐ Views not labeled separately or properly.

Fig(s) \_\_\_\_\_

☐ Enlarged view not labeled separately or properly.

Fig(s) \_\_\_\_\_

## 7. SECTIONAL VIEWS. 37 CFR 1.84 (h)(3)

☐ Hatching not indicated for sectional portions of an object.

Fig(s) \_\_\_\_\_

☐ Sectional designation should be noted with Arabic or

Roman numbers. Fig(s) \_\_\_\_\_

## 8. ARRANGEMENT OF VIEWS. 37 CFR 1.84(i)

☐ Words do not appear on a horizontal, left-to-right fashion when page is either upright or turned so that the top becomes the right side, except for graphs. Fig(s) \_\_\_\_\_

## 9. SCALE. 37 CFR 1.84(k)

☐ Scale not large enough to show mechanism without crowding when drawing is reduced in size to two-thirds in reproduction.

Fig(s) \_\_\_\_\_

## 10. CHARACTER OF LINES, NUMBERS, &amp; LETTERS.

37 CFR 1.84(i)

☒ Lines, numbers & letters not uniformly thick and well defined, clean, durable, and black (poor line quality).Fig(s) 1-15C

## 11. SHADING. 37 CFR 1.84(m)

☐ Solid black areas pale. Fig(s) \_\_\_\_\_☐ Solid black shading not permitted. Fig(s) \_\_\_\_\_☐ Shade lines, pale, rough and blurred. Fig(s) \_\_\_\_\_

## 12. NUMBERS, LETTERS, &amp; REFERENCE CHARACTERS.

37 CFR 1.84(p)

☐ Numbers and reference characters not plain and legible.

Fig(s) \_\_\_\_\_

☐ Figure legends are poor. Fig(s) \_\_\_\_\_☐ Numbers and reference characters not oriented in the

same direction as the view. 37 CFR 1.84(p)(1)

Fig(s) \_\_\_\_\_

☐ English alphabet not used. 37 CFR 1.84(p)(2)

Figs \_\_\_\_\_

☒ Numbers, letters and reference characters must be at least .32 cm (1/8 inch) in height. 37 CFR 1.84(p)(3)Fig(s) 11-21, 11A-15C

## 13. LEAD LINES. 37 CFR 1.84(q)

☐ Lead lines cross each other. Fig(s) \_\_\_\_\_☐ Lead lines missing. Fig(s) \_\_\_\_\_

## 14. NUMBERING OF SHEETS OF DRAWINGS. 37 CFR 1.84(l)

☐ Sheets not numbered consecutively, and in Arabic numerals beginning with number 1. Sheet(s) \_\_\_\_\_

## 15. NUMBERING OF VIEWS. 37 CFR 1.84(u)

☐ Views not numbered consecutively, and in Arabic numerals, beginning with number 1. Fig(s) \_\_\_\_\_

## 16. CORRECTIONS. 37 CFR 1.84(w)

☐ Corrections not made from prior PTO-948 dated \_\_\_\_\_

## 17. DESIGN DRAWINGS. 37 CFR 1.152

☐ Surface shading shown not appropriate. Fig(s) \_\_\_\_\_☐ Solid black shading not used for color contrast.

Fig(s) \_\_\_\_\_

COMMENTS

REVIEWER 81.0DATE 6/30/99

TELEPHONE NO. \_\_\_\_\_

ATTACHMENT TO PAPER NO. 4



#14  
11-6-02  
CK

PATENT  
ATTORNEY DOCKET NO. 046700-5004-05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
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Marc ALAIA et al. )  
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Application No.: 09/311,558 ) Group Art Unit: 2164  
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Filed: May 14, 1999 ) Examiner: Jagdish Patel  
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For: METHOD AND SYSTEM FOR ) Batch No.: E06  
CONTROLLING BIDDING IN )  
ELECTRONIC AUCTIONS USING )  
BIDDER-SPECIFIC BID )  
LIMITATIONS (As Amended) )

REVOCATION OF ESTABLISHMENT OF SMALL ENTITY STATUS

Box Issue Fee  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The above-referenced application is a divisional of co-pending parent Application No. 09/252,790, filed February 19, 1999. Pursuant to 37 C.F.R. §§ 1.27 and 1.28, Applicants filed a Small Entity Statement in the co-pending parent application on April 27, 1999. It has come to the undersigned attorney's attention that Applicants became a large entity on May 9, 2000. Accordingly, Applicants withdraw such Small Entity Status and include a payment of the deficiencies between the amounts paid since Applicants' change in small entity status.

Adjustment date: 05/15/2001 BAERAH2  
05/15/2001 THALL1 00000001 500310 09311558  
01 FC:113 55.00 CR

05/15/2001 BAERAH2 00000087 500310 09311558  
01 FC:113 55.00 CH 55.00 OP